

**AMENDMENT NO. THREE  
TO THE  
UNIVERSITY OF COLORADO HEALTH AND WELFARE PLAN AND TRUST  
HIPAA PRIVACY POLICY  
Originally Adopted July 1, 2010  
Amended and Restated July 1, 2014**

WHEREAS, The Regents of the University of Colorado (the “University”), as the Plan Sponsor of the University of Colorado Health and Welfare Plan, and the Trust Committee of the University of Colorado Health and Welfare Trust are responsible for maintaining the University of Colorado Health and Welfare Plan and Trust HIPAA Privacy Policy (the “Privacy Policy”); and

WHEREAS, the University has adopted the Privacy Policy effective July 1, 2010, Amended and Restated effective July 1, 2014, and the University and Trust Committee wish to amend the Privacy Policy, effective July 1, 2017, except as otherwise provided herein.

NOW THEREFORE, the Privacy Policy is amended effective July 1, 2017, except as otherwise provided herein, to read as follows:

1. The first two paragraphs of the Introduction of the Privacy Policy are amended effective July 1, 2017 to read as follows:

**“Introduction**

The University of Colorado Health and Welfare Plan (‘H&W Plan’) is sponsored by The Regents of the University of Colorado, a body corporate and a state institution of higher education of the State of Colorado (‘University’) and contains the following component self-funded benefits which are funded through the University of Colorado Health and Welfare Trust (‘Trust’):

1. CU Health Plan – High Deductible/HSA Compatible;
2. CU Health Plan – Exclusive;
3. CU Health Plan – Exclusive2;
4. CU Health Plan- Kaiser;
5. CU Health Plan – Vision;

6. CU Health Plan- Medicare;
7. CU Health Plan- Extended;
8. CU Health Plan – Essential Dental;
9. CU Health Plan – Choice Dental; and
10. CU Health Plan – Premier Dental.”

In addition, the Trust is the funding vehicle for the following plan:

11. Health Care Flexible Spending Account Component of The University of Colorado Flexible Benefits Plan (‘University Flex Plan’) which is sponsored by the University.”

2. The first sentence of Paragraph B.V. is amended effective July 1, 2017 to read as follows:

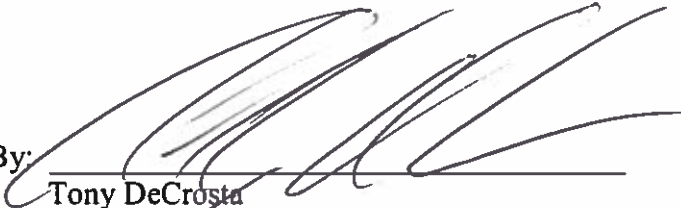
“Complaints should be addressed to:

Director of Health Initiatives and Affairs, CU Health Plan Administration/ CU  
Health Plan Privacy Official  
1999 Broadway, Suite 820 Denver, CO 80202  
[cuhealthplan@cu.edu](mailto:cuhealthplan@cu.edu)  
303-860-4199”

This Amendment No. Three may be executed in multiple counterparts and may be delivered by fax or other electronic means, each of which shall be deemed to be an original, and all of which together shall constitute one and the same document.

**APPROVED**

UNIVERSITY OF COLORADO, PLAN SPONSOR

By:   
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Tony DeCrosta  
Associate Vice President  
Chief Plan Administrator


Date: 6/29/17

CHAIRPERSON, ON BEHALF OF TRUST  
COMMITTEE FOR THE UNIVERSITY OF  
COLORADO HEALTH AND WELFARE TRUST

By:   
\_\_\_\_\_  
Kathy Nesbitt  
Chairperson, Trust Committee

Date: 6/29/17

APPROVED AS TO LEGAL SUFFICIENCY  
OFFICE OF UNIVERSITY COUNSEL

By:   
\_\_\_\_\_  
Melissa Martin  
Associate University Counsel  
Special Assistant Attorney General

Date: 6/29/17