

**AMENDMENT NO. ONE  
TO THE  
UNIVERSITY OF COLORADO HEALTH AND WELFARE PLAN AND TRUST  
HIPAA SECURITY POLICY**

WHEREAS, The Regents of the University of Colorado (the "University"), as the Plan Sponsor of the University of Colorado Health and Welfare Plan and the Trust Committee of the University of Colorado Health and Welfare Trust are responsible for maintaining the University of Colorado Health and Welfare Plan and Trust HIPAA Security Policy (the "Security Policy"); and

WHEREAS, the University has adopted the Security Policy effective July 1, 2010, and the University and Trust Committee wish to amend the Security Policy, effective July 1, 2010, except as otherwise provided herein.

NOW THEREFORE, the Security Policy is amended effective July 1, 2010, except as otherwise provided herein, to read as follows:

The Introduction of the Security Policy is amended effective January 1, 2011, except as otherwise provided herein, to read as follows:

**"Introduction**

The University of Colorado Health and Welfare Plan ('H&W Plan') is sponsored by The Regents of the University of Colorado ('University') and contains the following component self-funded benefits which are funded through the University of Colorado Health and Welfare Trust ('Trust'):

1. BlueAdvantage HMO Plan for the University of Colorado (HMO Colorado) (which includes Blue View Vision for the University of Colorado) (As Amended Effective August 1, 2010);
2. Lumenos Health Savings Account (HSA – Compatible) Plan for the University of Colorado;
3. UA Net Plan for the University of Colorado (which includes Blue View Vision for the University of Colorado) (As Amended Effective August 1, 2010);
4. Medicare Primary Plan for the University of Colorado; and
5. Health Risk Assessment Program ('HRA') (Effective January 1, 2011, or such later date that the HRA becomes an additional program under the University of Colorado Health and Welfare Plan)

*The HRA is an additional program under the H&W Plan effective January 1, 2011 or such later date that the HRA becomes an additional program under such Plan.*

In addition, the Trust is the funding vehicle for the following plans:

6. Health Care Flexible Spending Account Component of The University of Colorado Flexible Benefits Plan ('University Flex Plan') which is sponsored by the University; and
  7. Health Care Spending Account Plan of the University of Colorado Hospital Authority Cafeteria Plan ('UCH Flex Plan') which is sponsored by the University of Colorado Hospital Authority ('UCH')."
2. Exhibit A of the Security Policy is amended in its entirety effective July 1, 2010, to read as follows:

**"Exhibit A**

**to the University of Colorado Health and Welfare Plan and Trust  
HIPAA Security Policy**

**List of the Members of the Workforce  
Who May Have Access to PHI**

*University of Colorado Health and Welfare Plan ('H&W Plan')*

*and*

*Health Care Flexible Spending Account Component of the University of Colorado Flexible Benefit Plan ('Health Care FSA')*

**Job Title/Classification**

Any officer or employee of the Plan Administrator, including but not limited to personnel in the University of Colorado System Administration, who performs functions on behalf or related to administration of the H&W Plan and/or Health Care FSA, such as benefit design and administration, audit, legal, accounting and systems support

Vice President of Budget and Finance for the University of Colorado, or his or her successor

Any other employee of the University of Colorado who needs access to PHI in order to perform Plan administration functions that the Plan Sponsor performs for the H&W Plan and/or the Health Care FSA (such as quality assurance, claims processing, auditing, monitoring, payroll, and appeals (if applicable))

*Health Care Spending Account Plan of the University of Colorado Hospital Authority Cafeteria Plan*

**Job Title/Classification**

Vice-President for Human Resources, UCH


Manager, Benefits, UCH

Benefits Specialist, UCH"

This Amendment No. One may be executed in multiple counterparts and may be delivered by fax or other electronic means, each of which shall be deemed to be an original, and all of which together shall constitute one and the same document.

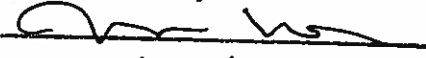
**APPROVED**

UNIVERSITY OF COLORADO, PLAN SPONSOR

By:   
Bruce Benson  
President

Date: 12/27/10

Approved as to Legal Sufficiency  
Office of University Counsel

By:   
Date: 12/27/10

CHAIRPERSON, ON BEHALF OF TRUST  
COMMITTEE FOR THE UNIVERSITY OF  
COLORADO HEALTH AND WELFARE TRUST

By:   
E. Jill Pollock  
Chairperson, Trust Committee

Date: 12/27/10